**Paper Review** 

## Do Wide and Deep Networks Learn the Same Things? Uncovering How Neural Network Representations Vary with Width and Depth

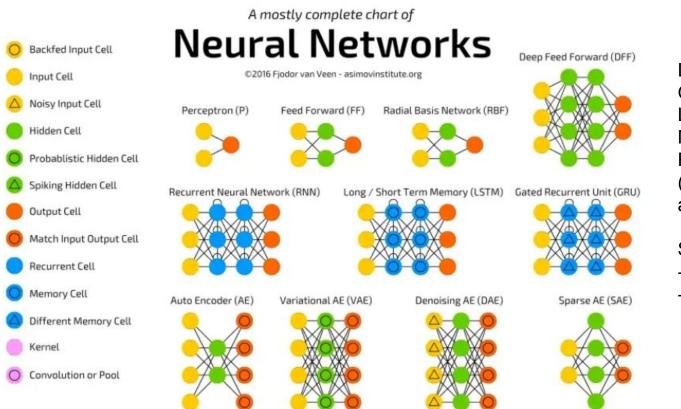
## Thao Nguyen, et al. Google Research ICLR 2021

R&D Center (Industrial AI Research), POSCO ICT Susang Kim

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## **1.Introduction - Neural Network Design Challenges**

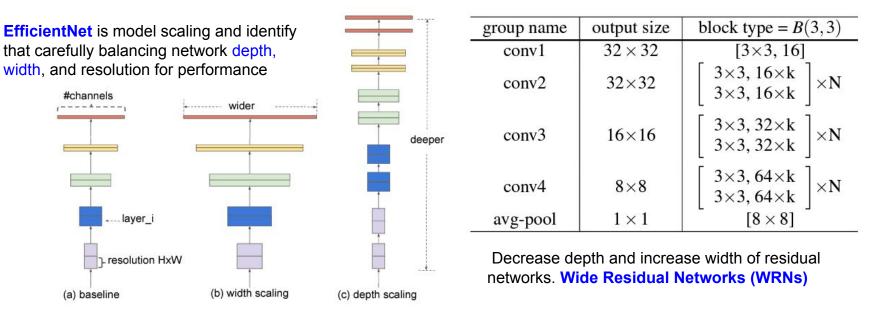


Data (Scale, Variance) Objective Function Learning Algorithm Model Architecture Representations (Hidden & Distributed) and so on....

Scaling Models. -ResNet-18,31,50,101 -ViT-Tiny, Small, Base

## **1.Introduction - Motivation**

Limited understanding how to affect scaling Models by varying **Depth and Width**. How to design scaling models to improve **performance** by varying depth and width. Do these different model architectures **learn different intermediate features** (hidden layer)? How do depth and width **affect final learned representations**? How varying depth and width affects finding a **redundancy**?



Tan, Mingxing, and Quoc Le. "Efficientnet: Rethinking model scaling for convolutional neural networks." ICML 2019. Zagoruyko, Sergey, and Nikos Komodakis. "Wide residual networks." BMVC 2016.

#### **1.Introduction**

We develop a method based on **Centered Kernel Alignment (CKA)** to efficiently measure the similarity of the hidden representations of **wide and deep neural networks**.

1) Apply CKA to different network architectures to find difference between representations.

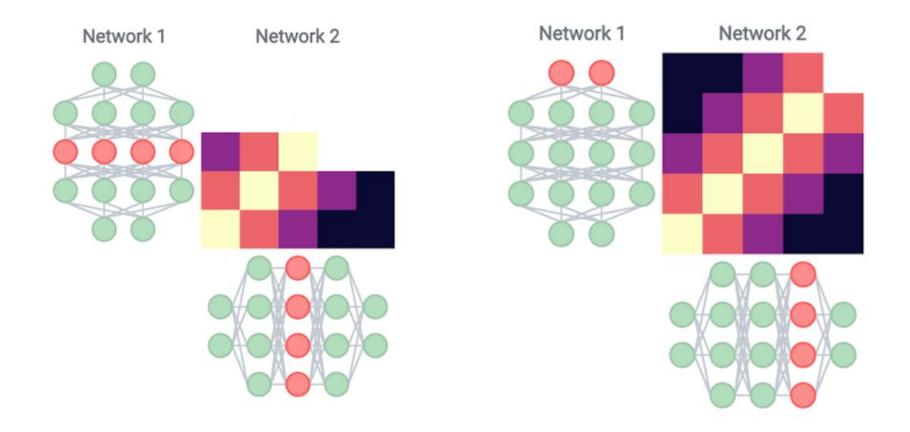
2) A block structure appears in overparameterized models.

3) Find that the block structure corresponds to hidden representations having a single principal component that explains the majority of the variance in the representation.

4) We show that some hidden layers exhibiting the block structure can be pruned with minimal impact on performance.

5) We find that wide and deep models make systematically **different mistakes on ImageNet**, even when these networks achieve similar overall accuracy. (wide is scenes / deep is goods)

#### 2. Preliminaries - Comparing Neural Net Representation



#### 2.Challenges in comparing representations

$$d(x, y) = \sqrt{\sum_{i=1}^{n} (y_i - x_i)^2}$$

**Cosine Similarity** 

**Euclidean Distance** 

similarity(A,B) = 
$$\frac{A \cdot B}{\|A\| \times \|B\|} = \frac{\sum_{i=1}^{n} A_i \times B_i}{\sqrt{\sum_{i=1}^{n} A_i^2} \times \sqrt{\sum_{i=1}^{n} B_i^2}}$$

**Dot Product Similarity** 

$$\langle \operatorname{vec}(XX^{\mathrm{T}}), \operatorname{vec}(YY^{\mathrm{T}}) \rangle = \operatorname{tr}(XX^{\mathrm{T}}YY^{\mathrm{T}}) = ||Y^{\mathrm{T}}X||_{\mathrm{F}}^{2}.$$

Is it possible to compare neural network representations? various representations having neurons or dimensions. (Invariance to Invertible Linear Transformation, Orthogonal Transform, Isotropic scaling)

$$A = \sigma(\boldsymbol{w} \cdot \boldsymbol{x} + b) = \frac{1}{1 + e^{-(\boldsymbol{w} \cdot \boldsymbol{x} + b)}} \qquad s(X, Y) = s(XA, YB)$$

#### 2.Comparing Similarity Structures - CKA

One way to understand trained neural networks is by comparing their representations by CKA

Centering Matrix is Idempotent matrix  

$$C_{n} = I_{n} - \frac{1}{n}J_{n} \qquad A^{2} = A.$$

$$C_{2} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \frac{1}{2} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & \frac{1}{2} \end{bmatrix},$$

$$C_{3} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} - \frac{1}{3} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} \frac{2}{3} & -\frac{1}{3} & -\frac{1}{3} \\ -\frac{1}{3} & \frac{2}{3} & -\frac{1}{3} \\ -\frac{1}{3} & -\frac{1}{3} & \frac{2}{3} \end{bmatrix}$$
Dot Product based similarity, trace matrix  

$$\langle a, b \rangle = \sum_{i=1}^{n} a_{i}b_{i} \qquad A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \qquad \text{vec}(A) = \begin{bmatrix} a \\ b \\ a \end{bmatrix}$$

$$\langle \text{vec}(XX^{T}), \text{vec}(YY^{T}) \rangle = \text{tr}(XX^{T}YY^{T}) = ||Y^{T}X||_{F}^{2}.$$

$$\text{tr}(A) = \sum_{i=1}^{n} a_{ii} = a_{11} + a_{22} + \dots + a_{nn}$$

$$\text{vec}(A) = \begin{bmatrix} a_{1,1}, \dots, a_{m,1}, a_{1,2}, \dots, a_{m,2}, \dots, a_{1,n}, \dots, a_{m,n} \end{bmatrix}^{T}$$
which Simple at al. "Simplicity of Neural Network Representations Revisited." ICML 2019

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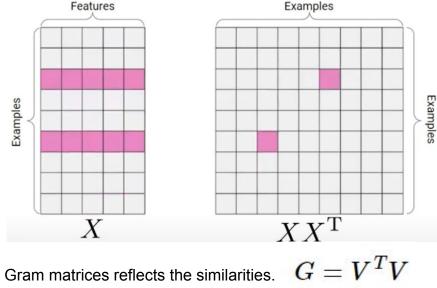
Kornblith, Simon et al. "Similarity of Neural Network Representations Revisited.", ICML 2019.

## 2. Comparing Similarity Structures - CKA

**Centered Kernel Alignment (CKA)** is a similarity metric designed to measure the similarity of between representations of features in neural networks.(summarizes measurements into a single scalar)

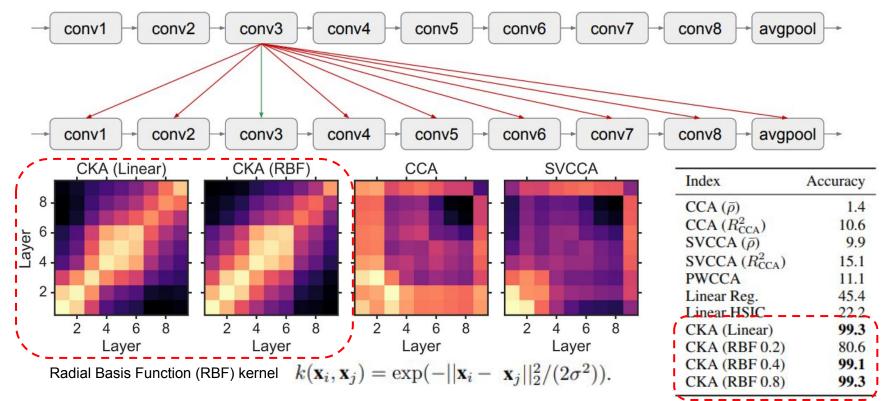
 $H_n = I_n - \frac{1}{n} \mathbf{1} \mathbf{1}^{\mathrm{T}}.$ HSIC is the Hilbert-Schmidt independence criterion  $CKA(\boldsymbol{K}, \boldsymbol{L}) = \frac{HSIC(\boldsymbol{K}, \boldsymbol{L})}{\sqrt{HSIC(\boldsymbol{K}, \boldsymbol{K})HSIC(\boldsymbol{L}, \boldsymbol{L})}},$ Features  $K = X X^{\mathsf{T}} \quad L = Y Y^{\mathsf{T}}$  $\operatorname{HSIC}(K,L) = \frac{1}{(n-1)^2} \operatorname{tr}(KHLH),$ Examples Let  $K_{ij} = k(\mathbf{x}_i, \mathbf{x}_j)$  and  $L_{ij} = l(\mathbf{y}_i, \mathbf{y}_j)$ 

HSIC = 0 implies independence. where K and L are two kernels.



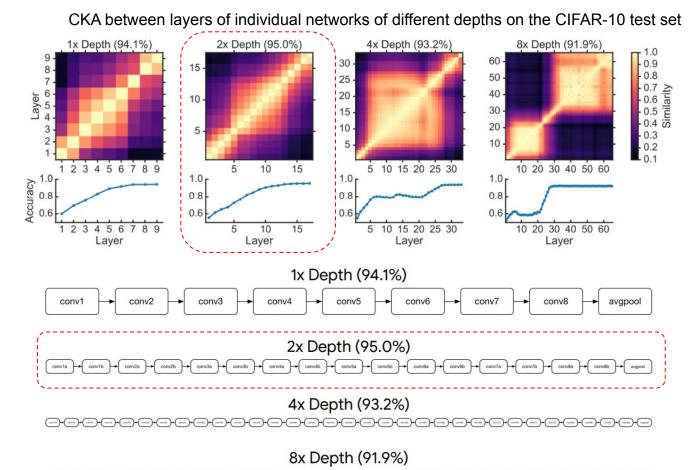
#### 2.To understand trained neural networks

Architecturally identical networks A and B **trained from different random initializations**, a layer from net A should be most similar to the architecturally corresponding layer in net B

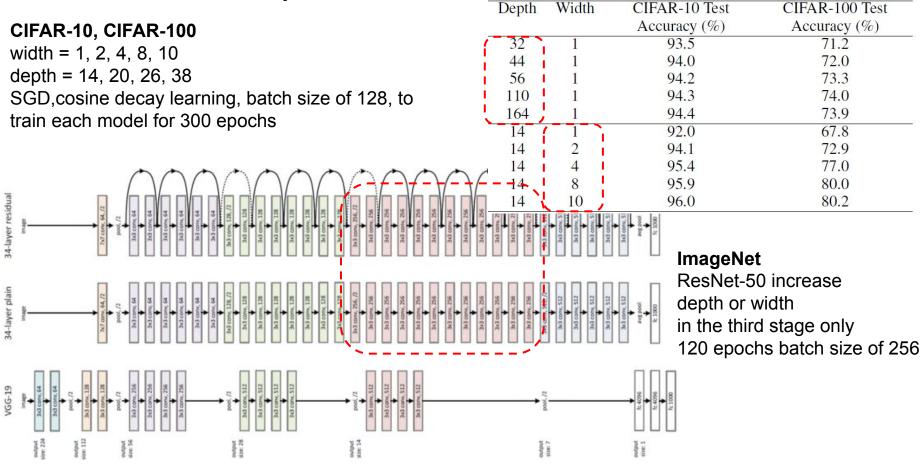


Kornblith, Simon et al. "Similarity of Neural Network Representations Revisited.", ICML 2019.

#### 2.CKA Reveals Network Pathology



## 3.Methods - Width and Depth

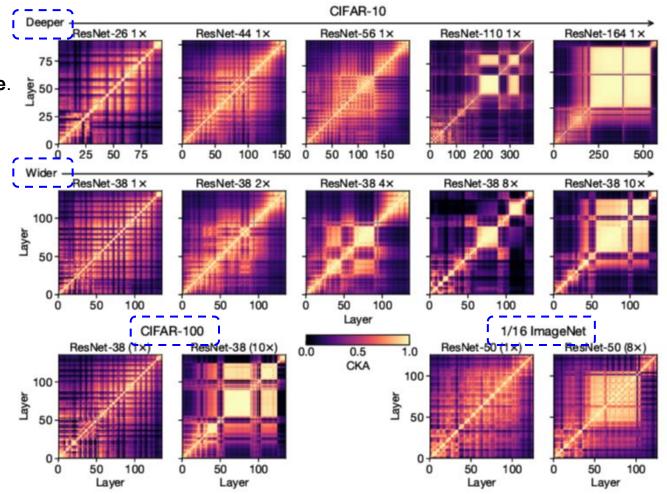


He, Kaiming, et al. "Deep residual learning for image recognition." CVPR 2016.

#### 3. Emergence of the block structure with increasing width or depth.

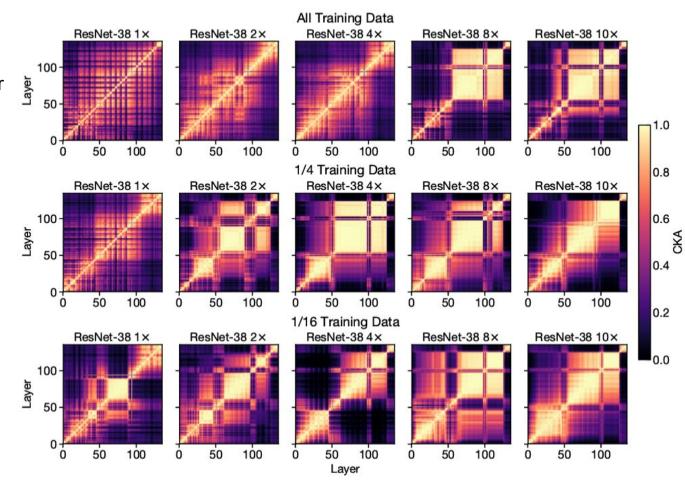
As the model gets wider or deeper, we see the emergence of a distinctive **block structure**.

This block structure mostly **appears in the later layers** (the last two stages) of the network.

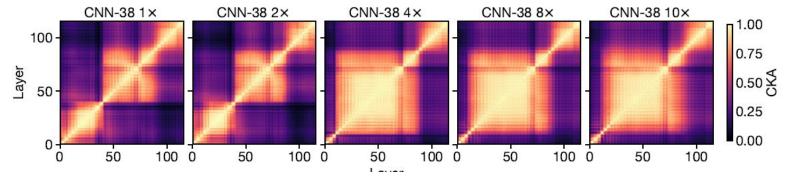


#### **3.Block structure with narrower networks when trained on less data.**

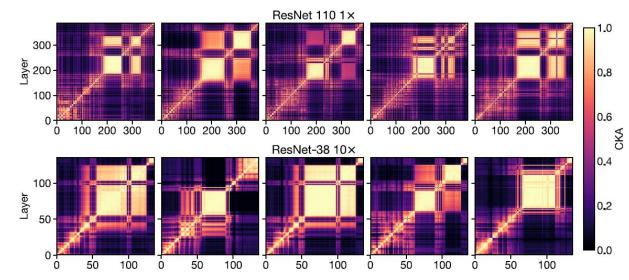
**Smaller dataset size**, smaller (narrower) models now also exhibit the block structure



#### **3.Block structure without residual connections & Random initializations**



Block structure also appears in models without residual connections (Removed Residual Connections)



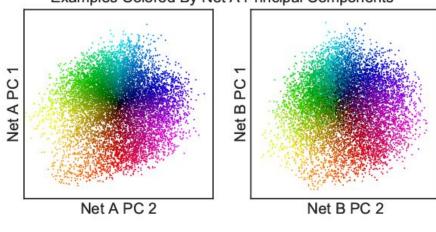
Block structure varies **across** random initializations

#### **3.The First Principal Component**

For centered matrices of activations  $X \in \mathbb{R}^{n \times p_1}$ ,  $Y \in \mathbb{R}^{n \times p_2}$ , linear CKA may be written as:

$$\operatorname{CKA}(XX^{\mathsf{T}}, YY^{\mathsf{T}}) = \frac{\sum_{i=1}^{p_1} \sum_{j=1}^{p_2} \lambda_X^i \lambda_Y^j \langle \mathbf{u}_X^i, \mathbf{u}_Y^j \rangle^2}{\sqrt{\sum_{i=1}^{p_1} (\lambda_X^i)^2} \sqrt{\sum_{j=1}^{p_2} (\lambda_Y^j)^2}}$$

where  $u_X^i \in \mathbb{R}^n$  and  $u_Y^i \in \mathbb{R}^n$  are the *i*<sup>th</sup> normalized principal components of X and YLet the *i*<sup>th</sup> eigenvalue of  $XX^T$  (squared singular value of X) be indexed as  $\lambda_X^i$ .

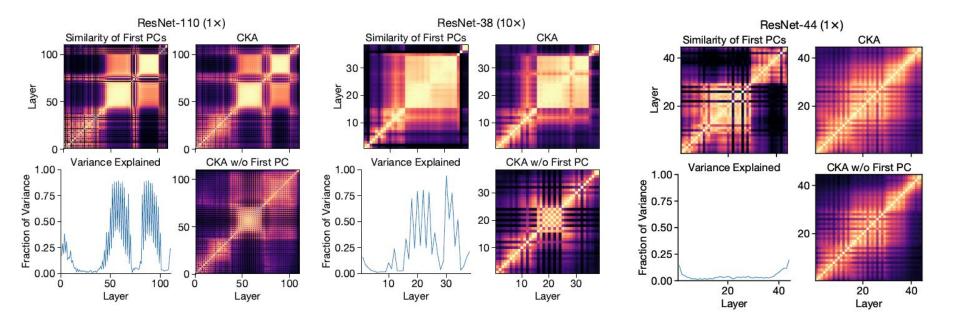


Examples Colored By Net A Principal Components

CIFAR-10 Test (first two PCA in intermediate layer

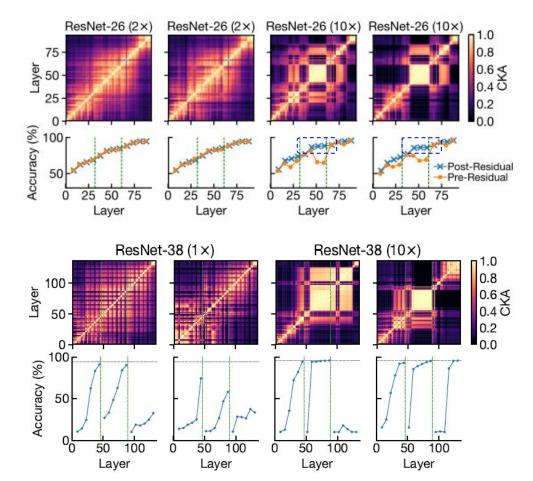
Kornblith, Simon, et al. "Similarity of neural network representations revisited." ICML 2019.

#### **3.Block structure & Principal component**



This principal component is also preserved throughout the block structure, Variance measure is significantly higher where the block structure is present.

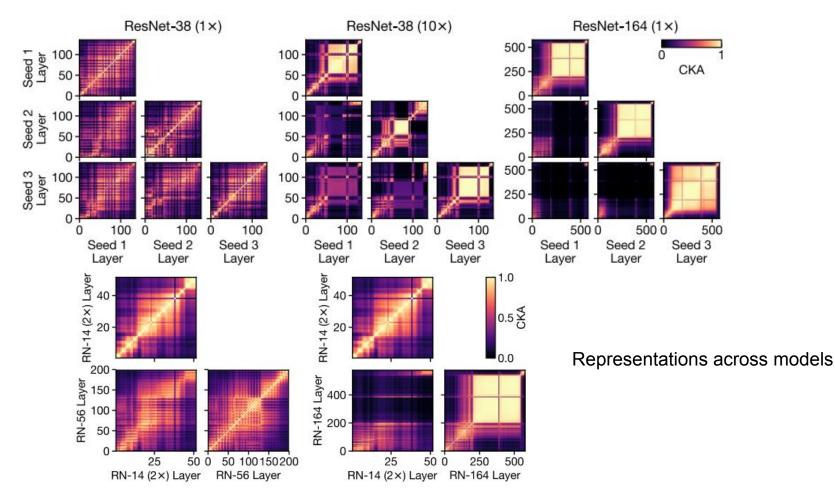
#### 3.Accuracy related with linear probe & block structure



Without the block structure monotonic increase in accuracy throughout the network, with the block structure linear probe accuracy shows little improvement inside the block structure. Comparing the accuracies of probes for layers pre- and post-residual connections play an important role in preserving representations in the block structure.

Proceed to pruning blocks one-by-one from the end of each residual stage, This result suggests that block structure could be an indication of redundant modules in model design, and that the similarity of its constituent layer representations could be leveraged for model compression.

## 3. Different initializations & model capacity



#### 3.Depth and Width affects on Model prediction

CIFAR-10 ImageNet 100 С а 6 honevcomb ResNet-14 (2×) Accuracy (%) 75 seashore library Bouvier des 4 Flandres 50 bookshop Difference (%) 25 2 100 b Accuracy ResNet-62 (1 ×) Accuracy (%) 75 -2 50 mud turtle Labrador retriever black and gold 25 ResNet-83 - ResNet-83 (Control) trash can garden spider ResNet-50 (2.8×) - ResNet-83 ram 50 100 20 40 60 80 100 ResNet-62 (1×) Accuracy (%) ResNet-83 Accuracy (%) ResNet-62 (1×): 87% h 74% 64% 97% 98% 96% 86% 74% 71% 60% ResNet-14 (2x): 22% 6% 8% 44% 44% 36% 43% 35% 20% 16% Easier for ResNet-62 (1×) ResNet-62 (1x): 20% 26% 28% 22% 23% 32% 39% 19% 11% 13% 79% 71% 96% 85% 76% 55% ResNet-14 (2×): 90% 92% 86% 50% Easier for ResNet-14 (2×)

On ImageNet there are statistically differences in class-level error rates between wide and deep models.

Width -> Scene Depth -> Object

Cifar-10 : highest accuracy differences between the two types of models

## 3. Comparison of accuracy of wide and deep

Class	# Classes	Wide Acc.	Deep Acc.	Diff.	p-value
entity	1000	$78.0\pm0.01$	$78.0\pm0.01$	-0.03	0.89
physical entity	997	$78.0\pm0.01$	$78.0 \pm 0.01$	-0.03	0.89
object	958	$78.1\pm0.01$	$78.1\pm0.01$	-0.04	0.76
whole	949	$78.2\pm0.02$	$78.2 \pm 0.01$	-0.05	0.48
artifact	522	$73.8 \pm 0.02$	$73.8 \pm 0.02$	-0.01	1
living thing	410	$83.5\pm0.02$	$83.6\pm0.02$	-0.10	0.023
organism	410	$83.5 \pm 0.02$	$83.6 \pm 0.02$	-0.10	0.023
animal	398	$83.3\pm0.02$	$83.4 \pm 0.02$	-0.09	0.032
container	100	$72.7\pm0.05$	$72.7\pm0.04$	0.00	1
covering	90	$72.0\pm0.05$	$72.2 \pm 0.05$	-0.19	0.13
conveyance	72	$83.5\pm0.04$	$83.4\pm0.05$	0.13	0.65
vehicle	67	$83.2\pm0.04$	$83.1\pm0.05$	0.11	0.76
hunting dog	63	$81.2 \pm 0.05$	$81.2 \pm 0.05$	0.01	1.,
commodity	63	$72.2\pm0.06$	$72.6\pm0.07$	-0.42	$5.1\times 10^{-5}$
consumer goods	62	$72.3 \pm 0.06$	$72.7\pm0.07$	-0.41	$6.7 imes10^{-5}$
invertebrate	61	$83.6\pm0.05$	$83.8\pm0.04$	-0.16	0.37
bird	59	$92.5\pm0.04$	$92.7\pm0.05$	-0.21	0.0018
structure	58	$75.9\pm0.06$	$75.5\pm0.07$	0.42	$5.7 imes10^{-5}$
matter	50	$77.6 \pm 0.05$	$77.4 \pm 0.05$	0.17	0.74

P-values are computed using a t-test with multiple testing (Holm-Sidak) correction.

### **4.Conclusion**

#### [Contribution]

Guiding researchers to **design networks**.(design wide and depth network for performance) Similarity of constituent layer representations could be **leveraged for model compression**. (Block Structure)

Statistically significant differences in **class-level error rates** between wide and deep models.

#### [Limitation]

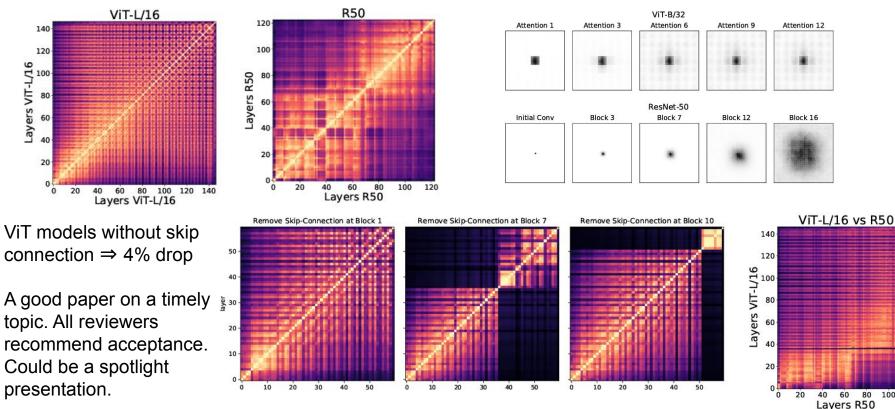
Small dataset.(Cifar10 or Cifar100) more explore on Imagenet 1K. Other Architecture (CNN, GAN and Transformer...)

#### [Future Work]

How to design block transformer per stage. (ViT) How does it related with Param and FLOPS. Suppress block structure on training time. Generalize to other Domain and Vision tasks(NLP, Detection). Contrastive learning for feature similarity? (CKA).

#### **Do Vision Transformers See Like Convolutional Neural Networks?**

Analyzing the internal representation of ViTs and CNNs on image classification, we find differences between the two architectures, such as ViT having more uniform representations across all layers

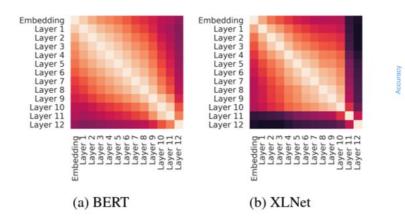


100 120

Raghu, Maithra, et al. "Do vision transformers see like convolutional neural networks?." NeurIPS 2021

#### Analyzing Individual Neurons in Pre-trained Language Models

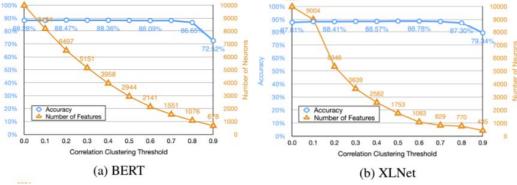
General Redundancy and Task-specific Redundancy. We dissect two popular pretrained models, **BERT and XLNet, studying how much redundancy** they exhibit at a representation-level and at a more fine-grained neuron-level



Brighter colors indicate higher similarity.

Figure 1:

Pairwise Similarity between the layers.



General neuron-level redundancy in BERT and XLNet; comparing the average reduction of neurons for different number of features

Adjacent layers are most redundant in the network, with **lower layers having greater redundancy with adjacent layers**. Comparing models, **XLNet is more redundant than BERT.** 

Durrani, Nadir, et al. "Analyzing individual neurons in pre-trained language models." EMNLP 2020.

## **Openreview (ICLR 2021)**

Neural networks with different architectures (width and depth learn similar representations). All reviewers agree that the investigations are thorough and the experimental discoveries are convincing and well explained.

#### Official Blind Review #1 (Rating 6: Marginally above acceptance threshold)

- I wonder if the **block structure arises dependent to the residual blocks**. I want to see more experiments with other network architectures. I expect to see an modified network architecture or a method to **balance the network size and accuracy**. However, just about theoretical analysis based on experiment phenomenon.

#### Official Blind Review #2 (Rating 8: Top 50% of accepted papers, clear accept)

- The most interesting and somewhat surprising finding is that even though two networks with different number of parameters and layers but with the same accuracy make very different mistakes, and there is a pattern to it. **The weakest part is the similarity analysis, which does not seem to reveal much new**. I propose lower score only due to the unclear choice of similarity function, as described above.

#### Official Blind Review #3 (Rating 6: Marginally above acceptance threshold)

- This is an interesting method and characterization of resnet behavior, with thorough experiments that tie together different aspects of the approach. **CKA is used to show a type of blockwise similarity**, much of which is subsequently explained, and related experimentally to classification performance using linear probes through the layers.

#### Official Blind Review #4 (Rating 7: Good paper, accept)

- In my humble opinion, the paper is very clearly written, presenting at the beginning of each section the scientific question they try to answer. Do the authors have solid reasons to believe that **their findings generalize to other neural models** (other ConvNets, recurrent, generative,...) and problems (regression, dense prediction,...?

# Thanks Any Questions?

You can send mail to Susang Kim(<u>healess1@gmail.com</u>)